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## Strongly compatible total orders on free monoids

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In this paper, we deal with a combinatorial problem which the first author raised in relation to term-rewriting systems. To 'complete' a grammar (as a term-rewriting system), one uses a compatible total order on the set  $X^*$  of all words (an order  $\leq$  on  $X^*$  is said compatible if  $x < y$  implies  $uxv < uyv$  for all  $u, v$ ) which does not have an infinite descending sequence. An order  $\leq$  on  $X^*$  is said length-sensitive if  $|x| < |y|$  implies  $x < y$ , where  $|x|$  for a word  $x$  denotes the length of  $x$ . The length-sensitivity assures the non-existence of an infinite descending sequence. Usually, a total order that is used for the above purpose is the length-sensitive lexicographic order (which is clearly compatible). The problem raised by the first author was to describe the all length-sensitive compatible total orders on  $X^*$ . He soon noticed that if we replace the compatibility by a somewhat stronger property which we shall call the strong compatibility, then the description of the orders becomes strikingly simple especially when  $\text{Card}(X) = 2$  ( $\text{Card}(X)$  denotes the cardinality of the set  $X$ ). In this paper, we take the strong compatibility as a basic property on orders, and present some results on such orders.

Let  $X = \{a_1, a_2, \dots, a_n\}$  be a set. We call  $X$  the alphabet and its elements letters. The free monoid  $X^*$  on  $X$  is the set of all words over  $X$  (that is, finite sequences of letters including the empty sequence, denoted 1, with concatenation as the operation). We treat  $X$  itself as a subset of  $X^*$  by identifying each letter  $a$  with the word consisting of ' $a$ ' alone. For any word  $x$  in  $X^*$ , the length of a word  $x$  is the number of letters occurring in  $x$ , and denoted by  $|x|$ . For each natural number  $n$ ,  $X^n$  denotes the set  $\{w \in X^* : |w| = n\}$ , while  $X^{[n]}$  denotes the set  $\{w \in X^* : |w| \leq n\}$ . We basically follow the notations in [B-P].

We consider an order relation  $\leq$  on  $X^*$  with the following conditions:

- (P1) for letters,  $a_1 < a_2 < \dots < a_n$  ( $x < y$  means that  $x \leq y$  and  $x \neq y$ ), and for any words  $x, y \in X^*$ ,
- (P2) (The length sensitivity) if  $|x| < |y|$ , then  $x < y$ .
- (P3) (The compatibility) if  $x < y$ , then  $uxv < uyv$  for all  $u, v \in X^*$ .
- (P4) (The strong compatibility) if  $x = x_1x_2$ ,  $y = y_1y_2$  and  $|x_1| = |y_1|$ ,  $|x_2| = |y_2|$ , then  $x < y$  implies  $x_1ux_2 < y_1uy_2$  for all  $u \in X^*$ , where  $x_1, x_2, y_1, y_2 \in X^*$ .
- (P5)  $\leq$  is a total order on  $X^*$ .

By (P1), we mean that we may (and do) fix 'the' ordering on the letters of  $X$  without loss of generality. The length-sensitivity (P2) is our another presumption on orders on  $X^*$  and will be implicit on any orders which will appear in the paper. It is easy to check that (P4) implies (P3).

By the lexicographic order, we mean the order  $\leq$  on  $X^*$  determined by the rule: if  $x = x_1x_2\dots x_m$ ,  $y = y_1y_2\dots y_m$  and  $x_1\dots x_{i-1} = y_1\dots y_{i-1}$  and  $x_i < y_i$ , then  $x < y$ , where  $x_i, y_i \in X$  ( $i = 1, \dots, m$ ). We denote this order by  $\leq_{\text{lex}}$ .

If  $x = x_1x_2\dots x_m \in X^*$ , where  $x_i \in X$  ( $i = 1, \dots, m$ ), then we denote  $x_m\dots x_2x_1$  by  $\tilde{x}$ .

By the anti-lexicographic order, we mean the order  $\leq$  on  $X^*$  such that for any  $x, y \in X^*$ ,  $x <_{\text{lex}} y$  implies  $\tilde{x} < \tilde{y}$ . We denote this order by  $\leq_{\text{al}}$ .

It is easy to see that both  $<_{\text{lex}}$  and  $\leq_{\text{al}}$  are strongly compatible.

**Proposition 1.** Suppose that  $\leq$  is a strongly compatible total order on  $X^*$ . If  $\leq$  coincides with  $\leq_{\text{lex}}$  on  $X^{[3]}$ , then  $\leq$  in fact equals  $\leq_{\text{lex}}$  on the entire  $X^*$ . In particular, if  $\text{Card}(X) \geq 3$ , then  $X^{[3]}$  above can be replaced by  $X^{[2]}$ .

**Proof.** We show the first assertion by induction on the length of words. Suppose that on  $X^{[m-1]}$ ,  $\leq$  coincides with  $\leq_{\text{lex}}$  ( $m \geq 4$ ). Let  $x, y \in X^m$  be  $x = x_1x_2\dots x_m$  and  $y = y_1y_2\dots y_m$  and let  $i$  be the least such that  $x_i \neq y_i$ . WLOG, we may assume that  $x_i < y_i$ . Then, since  $x <_{\text{lex}} y$ , we have to show that  $x < y$ .

**Case 1 :**  $i \geq 2$ . Then,  $x_i\dots x_m < y_i\dots y_m$  by the induction hypothesis.

So, using the strong compatibility, we have that  $x = x_1 \cdots x_{i-1} x_i \cdots x_m < y_1 \cdots y_{i-1} y_i \cdots y_m = y$ .

Case 2 :  $i = 1$  and  $x_j \leq y_j$  for some  $j \neq i$ . Then,  $x_1 \cdots x_{j-1} x_{j+1} \cdots x_m < y_1 \cdots y_{j-1} y_{j+1} \cdots y_m$  by the induction hypothesis. So, using the strong compatibility, we have that  $x = x_1 \cdots x_{j-1} x_j x_{j+1} \cdots x_m < y_1 \cdots y_{j-1} y_j y_{j+1} \cdots y_m = y$ .

Case 3 :  $i = 1$  and  $x_j > y_j$  for all  $j \neq i$ . Since by the case 1, we have that  $x \leq x_1 a_n^{m-1}$  and  $y_1 a_1^{m-1} \leq y$ , it suffices to show that  $x_1 a_n^{m-1} < y_1 a_1^{m-1}$ . Suppose on the contrary, that  $y_1 a_1^{m-1} < x_1 a_n^{m-1}$ . Then, by the strong compatibility, we have that  $y_1 x_1 a_1^{m-1} < x_1^2 a_n^{m-1}$ . But, on the other hand, we have:

$$\begin{aligned} x_1^2 a_n^{m-1} &= x_1 (x_1 a_n) a_n^{m-2} \\ &< x_1 (a_n x_1) a_n^{m-2} = x_1 a_n (x_1 a_n^{m-2}) \\ &< x_1 a_n (a_n^{m-3} a_1^2) = (x_1 a_n^{m-2}) a_1^2 \\ &< (y_1 x_1 a_1^{m-3}) a_1^2 = y_1 x_1 a_1^{m-1}, \text{ a contradiction.} \end{aligned}$$

We have proved the first assertion.

To show the second assertion, suppose that  $\leq$  coincides with  $\leq_{\text{lex}}$  on  $X^{[2]}$ . It suffices to show that  $\leq$  coincides with  $\leq_{\text{lex}}$  on  $X^{[2]}$ . Since in the above argument,  $m$  could be 3 until we showed the claim that  $x_1 a_n^{m-1} < y_1 a_1^{m-1}$ , we need only show the claim for  $m = 3$ , i.e., that  $x_1 a_n^2 < y_1 a_1^2$ . Suppose that  $y_1 a_1^2 < x_1 a_n^2$ . Then, we have that  $y_1 a_n a_1^2 < x_1 a_n^3$ . But, we have, if  $x_1 > a_1$ ,

$$\begin{aligned} x_1 a_n^3 &= (x_1 a_n) a_n^2 \\ &< (y_1 a_1) a_n^2 = y_1 (a_1 a_n) a_n \\ &< y_1 (x_1 a_1) a_n = y_1 x_1 (a_1 a_n) \\ &< y_1 x_1 (a_n a_1) = y_1 (x_1 a_n) a_1 \\ &< y_1 (a_n x_1) a_1 = y_1 a_n a_1^2, \quad \text{a contradiction,} \end{aligned}$$

and if  $x_1 = a_1$ ,

$$\begin{aligned} x_1 a_n^3 &= (x_1 a_n) a_n^2 \\ &< (a_2 a_1) a_n^2 = a_2 (a_1 a_n) a_n \\ &< a_2 (a_2 a_1) a_n = a_2^2 (a_1 a_n) \\ &< a_2^2 (a_n a_1) = a_2 (a_2 a_n) a_1 \\ &< a_2 (a_n a_1) a_1 \leq y_1 a_n a_1^2, \quad \text{again a contradiction.} \end{aligned}$$

We have proved the proposition.

An entirely similar proof shows the following:

Proposition 2. Suppose that  $\leq$  is a strongly compatible total order

on  $X^*$ . If  $\leq$  coincides with  $\leq_{al}$  on  $X^{[3]}$ , then  $\leq$  in fact equals  $\leq_{al}$  on the entire  $X^*$ .

In particular, if  $\text{Card}(X) \geq 3$ , then  $X^{[3]}$  above can be replaced by  $X^{[2]}$ .

From now on until the end of the proof of Theorem 7, we restrict our attention to orders on  $X^*$  with  $\text{Card}(X) = 2$ . We define four orders other than  $<_{lex}$  or  $<_{al}$  and will show that these four orders together with  $<_{lex}$  and  $<_{al}$  exhaust all the strongly compatible total orders on  $X^*$  when  $\text{Card}(X) = 2$ .

Let  $c \in X$ . For  $x \in X^*$ , the number of  $c$  occurring in  $x$  is denoted by  $|x|_c$ . We consider the following conditions on an order  $\leq$  on  $X^* = \{a, b\}^*$  ( $a < b$ ): for  $x, y \in X^*$  with  $|x| = |y|$ ,

- (a) if  $|x|_b < |y|_b$ , then  $x < y$ ,
- (b) if  $|x|_b = |y|_b$  and  $x <_{lex} y$ , then  $x < y$ ,
- (c) if  $|x|_b = |y|_b$  and  $y <_{al} x$ , then  $x < y$ ,
- (b') if  $|x|_b = |y|_b$  and  $x <_{al} y$ , then  $x < y$ ,
- (c') if  $|x|_b = |y|_b$  and  $y <_{lex} x$ , then  $x < y$ .

It is clear that an order on  $X^*$  satisfying (a) and one of (b), (c), (b'), (c') for all  $x, y \in X^*$  is a strongly compatible total order on  $X^*$ . The next four lemmas show that similar assertions to Proposition 1,2 are true for these four orders.

**Lemma 3.** Let  $X = \{a, b\}$  with  $a < b$ . Suppose that  $\leq$  is a strongly compatible total order on  $X^*$ . Then, if  $\leq$  coincides with the ordering defined by the conditions (a) and (b) on  $X^{[4]}$ , then they coincide on the entire  $X^*$ .

**Lemma 4** Let  $X, \leq$  be as in the lemma 3. Then, If  $\leq$  coincides with the ordering defined by the conditions (a) and (c) on  $X^{[4]}$ , then they coincide on the entire  $X^*$ .

**Lemma 5.** Let  $X, \leq$  be as in the lemma 3. Then, If  $\leq$  coincides with the ordering defined by the conditions (a) and (b') on  $X^{[4]}$ , then they coincide on the entire  $X^*$ .

**Lemma 6.** Let  $X, \leq$  be as in the lemma 3. Then, If  $\leq$  coincides with the ordering defined by the conditions (a) and (c') on  $X^{[4]}$ , then they coincide on the entire  $X^*$ .

Because the proofs of these lemmas are similar, we exhibit only

the proof of Lemma 3.

Proof of Lemma 3.

We show by induction on the length of a word. Suppose that on  $X^{[m-1]}$ ,  $\leq$  coincides with the order defined by the conditions (a) and (b) ( $m \geq 5$ ). Let  $x, y \in X^m$  be  $x = x_1 x_2 \cdots x_m$  and  $y = y_1 y_2 \cdots y_m$ . We have to show that if either  $|x|_b = |y|_b$  and  $x <_{\text{lex}} y$ , or  $|x|_b < |y|_b$ , then  $x < y$ .

Case 1 :  $|x|_b = |y|_b = k$  and  $x <_{\text{lex}} y$ . If  $x_1 \cdots x_{i-1} = y_1 \cdots y_{i-1}$  and  $x_i < y_i$  ( $2 \leq i \leq m$ ), then  $|x_i \cdots x_m|_b = |y_i \cdots y_m|_b$  and  $x_i \cdots x_m <_{\text{lex}} y_i \cdots y_m$ , so that by the induction hypothesis,  $x_i \cdots x_m < y_i \cdots y_m$ . By the compatibility of  $\leq$ ,  $x = x_1 \cdots x_{i-1} x_i \cdots x_m < y_1 \cdots y_{i-1} y_i \cdots y_m = y$ . From this fact, we can assume that  $x, y$  do not have a common initial segment, and obtain that  $x < ab^k a^{m-k-1}$  and  $ba^{m-k} b^{k-1} < y$ . Therefore, it suffices to show that  $ab^k a^{m-k-1} < ba^{m-k} b^{k-1}$ . If  $2k > m$ , then we have :

$$|ab^{m-k} a^{m-k-1}| = |ba^{m-k} b^{m-k-1}| = 2m - 2k < m.$$

Since  $|ab^{m-k} a^{m-k-1}|_b = |ba^{m-k} b^{m-k-1}|_b = m - k$  and  $ab^{m-k} a^{m-k-1} <_{\text{lex}} ba^{m-k} b^{m-k-1}$ , we have that  $ab^{m-k} a^{m-k-1} < ba^{m-k} b^{m-k-1}$ . Then, from the strong compatibility of  $\leq$ , we have :

$$ab^k a^{m-k-1} = (ab^{m-k}) b^{2k-m} a^{m-k-1} < (ba^{m-k}) b^{2k-m} b^{m-k-1} = ba^{m-k} b^{k-1}.$$

If  $2k < m$ , then we similarly obtain that  $ab^k a^{k-1} < ba^k b^{k-1}$ , so that

$$ab^k a^{m-k-1} = (ab^k) a^{m-2k} a^{k-1} < (ba^k) a^{m-2k} b^{k-1} = ba^{m-k} b^{k-1}.$$

In the case of  $2k = m$ , suppose that  $ba^{m-k} b^{k-1} < ab^k a^{m-k-1}$ . Then,  $ba^k b^{k-1} < ab^k a^{k-1}$ , so that  $ba^{k+1} b^{k-1} < a^2 b^k a^{k-1}$ . On the other hand, we have :

$$\begin{aligned} a^2 b^k a^{k-1} &= a(ab^{k-2} b^2 a) a^{k-2} \\ &< a(bb^{k-2} a^2 b) a^{k-2} && (\text{since } abba < baab) \\ &= (ab^{k-1})(a^2 b) a^{k-2} \\ &< (ba^{k-1})(a^2 b) b^{k-2} && (\text{since } ab^{k-1} a^{k-2} < ba^{k-1} b^{k-2}) \\ &= ba^{k+1} b^{k-1}, \quad \text{a contradiction.} \end{aligned}$$

Case 2 :  $|x|_b < |y|_b$ . Put  $|x|_b = s$  and  $|y|_b = t$ . with  $s < t$ . If  $s+t < m$ , then  $x_i = y_i = a$  for some  $i$  ( $1 \leq i \leq m$ ), and if  $s+t > m$ , then  $x_i = y_i = b$  for some  $i$  ( $1 \leq i \leq m$ ). In the both cases, we have :

$$\begin{aligned} |x_1 \cdots x_{i-1} x_{i+1} \cdots x_m|_b &< |y_1 \cdots y_{i-1} y_{i+1} \cdots y_m|_b, \quad \text{so that} \\ x_1 \cdots x_{i-1} x_{i+1} \cdots x_m &< y_1 \cdots y_{i-1} y_{i+1} \cdots y_m. \end{aligned}$$

Thus,  $x = x_1 \cdots x_{i-1} x_i x_{i+1} \cdots x_m < y_1 \cdots y_{i-1} y_i y_{i+1} \cdots y_m = y$ .

So, suppose that  $s+t = m$ . Then, from the case 1, we obtain that  $x \leq b^s a^t$  and  $a^s b^t \leq y$ . Thus, it suffices to show that  $b^s a^t <$

$a^s b^t$ . If  $t-s \geq 2$ , then since  $b^s a^{t-1} < a^s b^{t-1}$  by induction, we have  $b^s a^t < a^s b^t$ . Hence, let  $t = s+1$  (so  $m = 2s+1$ ), and assume on the contrary to our purpose that  $a^s b^{s+1} < b^s a^{s+1}$ . Then,  $a^s a^s b b^{s+1} < a^s b^s b a^{s+1}$ . But, on the other hand, we have that

$$\begin{aligned} a^s b^s b a^{s+1} &= (a^s b^{s+1}) a^{s+1} \\ &< (b^s a^{s+1}) a^{s+1} \\ &= (b^{s-1}) b a^{s-1} (a^{s+1}) a^2 \\ &< (a^{s-1}) b a^{s-1} (a b^s) a^2 \quad (\text{since } b^{s-1} a^{s+1} < a^{s-1} a b^s) \\ &< (a^{s-1}) a a^{s-1} (a b^s) b^2 \quad (\text{since } b a^{s-1} a^2 < a a^{s-1} b^2) \text{ ---} (*) \\ &= a^s a^s b b^{s+1}, \quad \text{a contradiction.} \end{aligned}$$

At (\*), we can use the induction hypothesis since  $|b a^{s-1} a^2| = s+2 < 2s+1 (= m)$  by our hypothesis that  $m \geq 5$ . We have proved the lemma.

Now, our main theorem:

**Theorem 7.** Let  $X = \{a, b\}$  with  $a < b$ . Suppose that  $\leq$  is a strongly compatible total order on  $X^*$ . Then,  $\leq$  must be one of the following six orders on  $X^*$ :

- (1) the lexicographic order,
- (2) the anti-lexicographic order,
- (3)~(6) one which satisfies the condition (a) and one of the conditions (b), (c), (b'), (c').

**Proof.** For the sake of Proposition 1-2 and Lemma 3-6, it suffices to check that if  $\leq$  is a strongly compatible total order on  $\{a, b\}^*$ , then it coincides with  $<_{\text{lex}}$  or  $<_{\text{al}}$  on  $X^{[3]}$ , or with one of the other four orders on  $X^{[4]}$ . So, let  $\leq$  be a strongly compatible total order on  $\{a, b\}^*$ . Then, since  $a < b$ , by the compatibility of  $\leq$ , there are only two possibilities on  $X^2$ :

- I.  $aa < ab < ba < bb$ , and
- II.  $aa < ba < ab < bb$ .

Suppose that the possibility I is the case. Then, the strong compatibility of  $\leq$  imposes on the ordering on  $X^3$  that  $aaa < aab < aba < (abb \text{ or } baa) < bab < bba < bbb$ . The strong compatibility alone can not determine if  $abb < baa$  or  $baa < abb$ . Therefore, we get two possibilities:

- I-(i).  $aaa < aab < aba < abb < baa < bab < bba < bbb$ , or
- I-(ii).  $aaa < aab < aba < baa < abb < bab < bba < bbb$ .

If I-(i) is the case, then  $\leq$  coincides with  $<_{\text{lex}}$  on  $X^{[3]}$ , and we are done. So, suppose that I-(ii) is the case. Then, the strong compatibility of  $\leq$  imposes on the ordering on  $X^4$  that

$$aaaa < aaab < aaba < abaa < baaa < aabb < abab <$$

$< (\text{abba or baab}) <$

$< \text{baba} < \text{bbaa} < \text{abbb} < \text{babb} < \text{bbab} < \text{bbba} < \text{bbbb}.$

Here, again the strong compatibility fails to determine if  $\text{abba} < \text{baab}$  or  $\text{baab} < \text{abba}$ . If  $\text{abba} < \text{baab}$ , then  $\leq$  coincides on  $X^{[4]}$  with the order defined by the conditions (a) and (b), and if  $\text{baab} < \text{abba}$ , then  $\leq$  coincides on  $X^{[4]}$  with the order defined by the conditions (a) and (c). Hence, we have shown the case I above. The case II can be treated similarly.

When  $\text{Card}(X) \geq 3$ , a description of the strongly compatible total orders becomes much more complicated even when  $\text{Card}(X) = 3$ . We are going to show that there are infinitely many strongly compatible total orders on  $X^*$  when  $\text{Card}(X) \geq 3$ .

Let  $X = \{a_1, a_2, \dots, a_n\}$  ( $n \geq 3$ ). Let  $f : X^* \rightarrow \mathbf{R}$  be a mapping from  $X^*$  into the set of real numbers satisfying :

(1) for  $a_i \in X$ ,  $f(a_1) \leq f(a_2) \leq \dots \leq f(a_n)$ ,

(2) for  $x = x_1 x_2 \dots x_m \in X^*$ , where  $x_i \in X$  ( $i = 1, \dots, m$ ),  $f(x) =$

$$\sum_{i=1}^m f(x_i).$$

Then, let  $\leq_f$  be the order on  $X^*$  defined by:

(i) if  $|x| < |y|$ , then  $x \leq_f y$ ,

(ii) if  $|x| = |y|$  and  $f(x) < f(y)$ , then  $x \leq_f y$ ,

(iii) if  $|x| = |y|$ ,  $f(x) = f(y)$  and  $x <_{\text{lex}} y$  then  $x \leq_f y$ .

Then, it is clear that  $\leq_f$  is a strongly compatible total order on  $X^*$  for every  $f$  satisfying (1) and (2) above.

**Proposition 8.** Let  $X = \{a_1, a_2, \dots, a_n\}$  ( $n \geq 3$ ). Then, the cardinality of the set of all strongly compatible total orders on  $X^*$  is that of the set of real numbers.

**Proof.** Let  $a, b, c$  be three distinct letters in  $X$  with  $a < b < c$ . For each real number  $s \in [0, 1]$ , let  $f_s$  be a map :  $X^* \rightarrow \mathbf{R}$  satisfying (1), (2) above and such that  $f_s(a) = 0$ ,  $f_s(b) = s$ , and  $f_s(c) = 1$ . Denote  $\leq_{f_s}$  by  $\leq_s$ . We claim that if  $0 < s < t < 1$ , then  $\leq_s$  and  $\leq_t$  are not the same orders, which will show the theorem. To show the claim, let  $p, q$  be natural numbers such that  $s < \frac{q}{p} < t$  (hence,  $ps < q$



$< pt$ ). Consider the words  $x = b^p$  and  $y = c^q a^{p-q}$ . Since  $f_s(x) = ps < q = f_s(y)$ ,  $x <_s y$ . On the other hand, since  $f_t(x) = pt > q = f_t(y)$ ,  $y <_t x$ . Hence,  $\leq_s$  and  $\leq_t$  are not the same.

Finally, we answer the following question :

Q. Does there exist a compatible total order which is not strongly compatible?

The answer is 'positive'. To show an example, we modify the above  $\leq_f$  so that an evaluation of a word also counts a weight on the 'location' of each letter in the word.

Let the alphabet  $X = \{ a_1, a_2, \dots, a_n \}$ . As before,  $f$  puts weight (a real number) on each letter:

$$f(a_1) < f(a_2) < \dots < f(a_n).$$

Let  $s$  be a positive real. Then,  $f_s$  evaluates a word  $x = x_1 x_2 \dots x_m$  (where  $x_i \in X$ ) by :

$$f_s(x) = \sum_{i=1}^m f(x_i) s^{i-1}.$$

Then, let  $\leq_f^s$  be the order on  $X^*$  defined by:

- (i) if  $|x| < |y|$ , then  $x <_f^s y$ ,
- (ii) if  $|x| = |y|$  and  $f_s(x) < f_s(y)$ , then  $x <_f^s y$ .

It is clear that if  $s$  is a transcendental real, then  $\leq_f^s$  is a total order. To see that  $\leq_f^s$  is compatible, it suffices to observe that  $f_s(uv) = f_s(u) + f_s(v)s^{|u|}$  for  $u, v \in X^*$ . But, for some  $s$ ,  $\leq_f^s$  is not strongly compatible. For example, let  $s$  be a transcendental number in the interval  $(1, \frac{1+\sqrt{5}}{2})$ , and let  $f(a) = 0$ ,  $f(b) = 1$  for  $a, b \in X$ .

Then, it is easy to see that  $s^2 < s+1$  and  $aab <_f^s bba$ . If  $\leq_f^s$  were strongly compatible, then  $aaa^{k-2}b <_f^s bba^{k-2}a$  for every  $k$ . But, for a sufficiently large  $k$ ,  $f_s(aaa^{k-2}b) = s^k > s+1 = f_s(bba^{k-2}a)$  and  $bba^{k-2}a <_f^s aaa^{k-2}b$ . Hence, this  $\leq_f^s$  is not strongly compatible.

## Appendix.

In this appendix, we present some complimentary results on  $\leq_f^s$  - orders in the case that the alphabet  $X = \{a, b\}$ .

First of all, we get rid of an unnecessary complication on  $f$ .

Suppose that we are given an order  $\leq_f^s$  on  $\{a,b\}^*$  for some  $f$  and (a transcendental)  $s$ . Then, for  $x = x_1 x_2 \cdots x_m$ , (where  $x_i \in \{a,b\}$ ), we have:

$$f_s(x) = \sum_{i=1}^m f(x_i) s^{i-1} = (f(b) - f(a)) \cdot \left[ \sum_{i=1}^m \delta_i(x) s^{i-1} \right] + \sum_{i=1}^m f(a) s^{i-1},$$

where  $\delta_i(x) = 1$  if  $x_i = b$ , else 0. From this, we conclude that an order  $\leq_f^s$  on  $\{a,b\}^*$  with  $f(a) < f(b)$  is equivalent to the order  $\leq_g^s$  with  $g(a) = 0$  and  $g(b) = 1$ . Hence, in the followings, we consider only orders  $\leq_f^s$  with  $f(a) = 0$  and  $f(b) = 1$ , and omit the subscript  $f$  as  $\leq^s$ .

**Proposition A.** For  $s \geq 2$  (resp.  $0 < s \leq \frac{1}{2}$ ),  $\leq^s$  coincides with  $\leq_{al}$  (resp.  $\leq_{lex}$ ).

**Proof.** We only prove the case for  $s \geq 2$ . It suffices to show that for every  $k \geq 1$ ,  $1 + s + s^2 + \cdots + s^{k-1} < s^k$  because L.H.S. =  $f_s(b^k a)$  and R.H.S. =  $f_s(a^k b)$ . But, since  $s \geq 2$ ,  $\frac{s^k - 1}{s - 1} \leq s^{k-1}$ , i.e.,  $\frac{s^k - 1}{s - 1} < s^k$ , i.e.,  $1 + s + s^2 + \cdots + s^{k-1} < s^k$ .

**Proposition B.** For  $s \in (\frac{1}{2}, 1) \cup (1, 2)$ ,  $\leq^s$  is not strongly compatible.

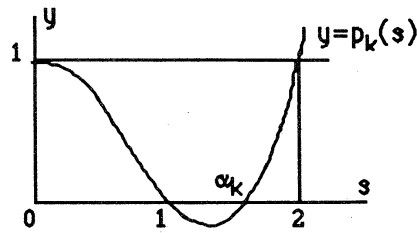
**Proof.** We prove only the case for  $s \in (1, 2)$ . We first show that  $\leq^s \neq \leq_{al}$ , for which it suffices to prove that there is a  $k \geq 1$  such that  $s^k < 1 + s + s^2 + \cdots + s^{k-1}$  since then  $a^k b <^s b^k a$ . But, if  $s \in (1, 2)$ , then for a sufficiently large  $k$ , it holds that  $1 < (2-s)s^k$ , i.e.,  $1 - s^k < (1-s)s^k$ , i.e.,  $\frac{s^k - 1}{s - 1} > s^k$ , i.e.,  $1 + s + s^2 + \cdots + s^{k-1} > s^k$ . On the other hand, there is an  $m$  such that  $1 + s + s^2 + \cdots + s^{k-1} < s^{k+m}$ . For such an  $m$ , we have that  $a^k a^m b^s > b^k a^m a$ . Hence,  $\leq^s$  is not strongly compatible.

Put for each  $k \geq 2$ ,  $p_k(s) = s^{k+1} - 2s^k + 1$ . Then, as in the above proof, for each  $k \geq 2$ , and for each  $s \in (1, 2)$ ,

$$p_k(s) > 0 \quad \text{iff} \quad a^k b <^s b^k a$$

$$(<) \qquad \qquad \qquad (s>)$$

For each  $k \geq 2$ , since  $p_k(s) = s^k \{(k+1)s - 2\}$ , it is easy to see that there is a unique root  $\alpha_k$  of  $p_k(s) = 0$  in the interval  $(1, 2)$ .



Then,  $\{\alpha_k\}_{k \geq 2}$  is a strictly increasing sequence (converging to 2).

For, if  $2 \leq k < m$ , then  $p_m(\alpha_k) = \alpha_k^{m+1} - 2\alpha_k^m + 1 = \alpha_k^{m-k}(\alpha_k^{k+1} -$

$2\alpha_k^k + 1) + 1 - \alpha_k^{m-k} = 1 - \alpha_k^{m-k} < 0$ , which means that  $\alpha_k < \alpha_m$ .

The convergence is proved by a similar argument to the proof of Proposition B.

**Proposition C.** There are at least countably many compatible total orders on  $\{a, b\}^*$ .

**Proof.** Let  $2 \leq k < m$  and  $s \in (\alpha_k, \alpha_{k+1})$ ,  $t \in (\alpha_m, \alpha_{m+1})$ . Then, it suffices to show that  $<^s$  and  $<^t$  are distinct orders. Since  $s < \alpha_{k+1} \leq \alpha_m$ ,  $p_m(s) < 0$ , i.e.,  $b^m a <^s a^m b$ . But, since  $\alpha_m < t$ ,  $p_m(t) > 0$ , i.e.,  $a^m b <^t b^m a$ . Hence,  $<^t \neq <^s$ .

## REFERENCE

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